

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

WILLIAM E. WEBLER

Application No.: 10/038,788

Filed: December 31, 2001

For: **INTRA-VENTRICULAR
SUBSTANCE DELIVERY CATHETER
SYSTEM**



Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449 (or PTO/SB/08). A copy of each reference is submitted herewith.


It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial office Action, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions.

Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

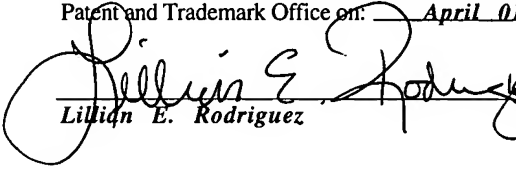
Date: April 01, 2002


Raul D. Martinez, Reg. No. 46,904

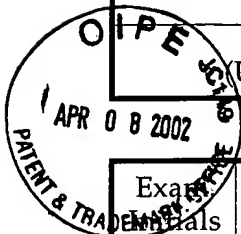
12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: April 01, 2002


Lillian E. Rodriguez 04/01/02
Date

Form PTO-1449 (Modified)	Atty Docket No. 5618P2949	Application No. 10/038,788
<i>List of Patents & Publications Statement</i>	Applicant(s): William E. Webler	
(Use several sheets if necessary)	Filing Date: December 31, 2001	Group No.:



U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	AA	4,815,478	03/28/1989	Buchbinder, et al.			
	AB	4,813,434	03/21/1989	Buchbinder, et al.			
	AC	4,757,827	07/19/1988	Buchbinder, et al.			
	AD	4,723,936	02/09/1988	Buchbinder, et al.			
	AE	5,125,895	06/30/1992	Buchbinder, et al.			
	AF	5,114,414	05/19/1992	Buchbinder, et al.			
	AG	5,964,971	10/12/1999	Lunn			
	AH	5,755,704	05/26/1998	Lunn			
	AI	5,820,592	10/13/1998	Hammerslag			
	AJ	5,441,483	08/15/1995	Avitall			
	AK	5,662,621	09/02/1997	Lafontaine			

FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub-class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials		Document Identification
	AR	
	AS	
	AT	

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Form PTO-1449 (Modified)	Atty Docket No. 5618.P2949	Application No. 10/038,788
<i>List of Patents & Publications Statement</i>	Applicant(s): William E. Webler	
(Use several sheets if necessary)	Filing Date: December 31, 2001	Group No.:

U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
AA	5,415,633	05/16/95	Lazarus, et al.			
AB	5,230,348	07/27/93	Ishibe, et al.			
AC	6,102,887	08/15/00	Altman			
AD	6,120,520	09/19/00	Saadat, et al.			
AE	5,876,373	03/02/99	Giba, et al.			
AF	6,126,654	10/03/00	Giba, et al.			
AG	5,997,525	12/07/99	March, et al.			
AH	6,224,584 B1	05/01/01	March, et al.			
AI	6,045,565	04/04/00	Ellis, et al.			
AJ	5,873,842	02/23/99	Brennen, et al.			
AK	5,797,870	08/25/98	March, et al.			

FOREIGN PATENT DOCUMENTS

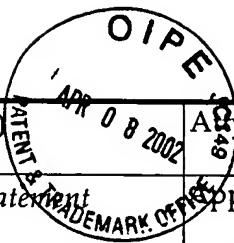
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List of Patents & Publications Statement	Applicant(s): William E. Webler	
(Use several sheets if necessary)	Filing Date: December 31, 2001	Group No.:

U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	AA	6,179,809	06/30/01	Khairkhahan, et al.			
	AB	6,129,649	10/03/00	VanTassel, et al.			
	AC	6,238,406	05/29/01	Ellis, et al.			
	AD	6,267,746 B1	07/31/01	Bumbalough			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

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Examiner: _____ Date Considered: _____

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03 CO

PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL FORM**

Do not be used for all correspondence after initial filing)

Application Number 10/038,788

Filing Date December 31, 2001

First Named Inventor William E. Webler

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

382

Attorney Docket Number

5618P2949

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

-Copies of 26 Listed References

-Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Raul D. Martinez, Reg. No. 46,904

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Signature

Raul D. Martinez

Date

April 1, 2002

CERTIFICATE OF MAILING (OR TRANSMISSION)

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April 1, 2002

Typed or printed name

Lillian E. Rodriguez

Signature

Lillian E. Rodriguez

Date

04/01/02

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/038,788
Filing Date 12/31/01
First Named Inventor William E. Webler
Examiner Name
Group Art Unit
Attorney Docket Number 5618P2949

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☒ None

☒ Deposit Account

Deposit Account Number
Deposit Account Name

02-2666

Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

FEE CALCULATION

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Extra Claims		Fee from below		Fee Paid
Total Claims	Extra Claims	Fee	Fee	
30	-30** =	0	X 18.00 =	\$0.00
3	-3** =	0	X 84.00 =	\$0.00
Multiple Dependent Claims =				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,280	241	640	Petition to revive - unintentionally	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Raul D. Martinez, Reg. No. 46,904

Registration No. (Attorney/Agent)

Telephone (310) 207-3800

Signature

Raul D. Martinez

Date

04/01/02

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